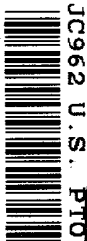


08-28-01

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JC962 U.S. PTO

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |   |
|------------------------|---|
| Attorney Docket No.    | DP-306,072                                    |
| First Inventor         | BENSON  |
| Title                  | BATTERY CONSTRUCTED WITH EXTRACTED BICELLS... |
| Express Mail Label No. | EF000849225US                                 |

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **13**]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total **5**]
5. Oath or Declaration [Total Pages **18**]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

|         |  |           |              |                  |
|---------|--|-----------|--------------|------------------|
| Name    | MARGARET A. DOBROWITSKY, REG. NO. 36,501 |           |              |                  |
|         | DELPHI TECHNOLOGIES, INC.                |           |              |                  |
| Address | 1450 W. LONG LAKE ROAD, 4TH FLOOR        |           |              |                  |
| City    | TROY                                     | State     | MI           | Zip Code 48098   |
| Country | USA                                      | Telephone | 248-267-5548 | Fax 248-267-5559 |

Name (Print/Type) JOHN W. REES

Registration No. (Attorney/Agent)

38,278

Signature

Date

8/27/01

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

|                      |            |
|----------------------|------------|
| Application Number   | UNKNOWN    |
| Filing Date          | HEREWITH   |
| First Named Inventor | BENSON     |
| Examiner Name        | UNKNOWN    |
| Group Art Unit       | UNKNOWN    |
| Attorney Docket No.  | DP-306,072 |

TOTAL AMOUNT OF PAYMENT **\$710.00**

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **04-2223**  
Deposit Account Name **DYKEMA GOSSETT PLLC**

☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR § 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity Code   | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description        | Fee Paid        |
|---------------------|-----------------------|-------------------|-----------------------|------------------------|-----------------|
| 101                 | 710                   | 201               | 355                   | Utility filing fee     | 710.00          |
| 106                 | 320                   | 206               | 160                   | Design filing fee      |                 |
| 107                 | 490                   | 207               | 245                   | Plant filing fee       |                 |
| 108                 | 710                   | 208               | 355                   | Reissue filing fee     |                 |
| 114                 | 150                   | 214               | 75                    | Provisional filing fee |                 |
| <b>SUBTOTAL (1)</b> |                       |                   |                       |                        | <b>\$710.00</b> |

#### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 19                 | -20** = 0    | X              | 0.00     |
| Independent Claims | 2 - 3** = 0  | X              | 0.00     |
| Multiple Dependent |              |                |          |

| Large Entity Code   | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description  | Fee Paid      |
|---------------------|-----------------------|-------------------|-----------------------|--|---------------|
| 103                 | 18                    | 203               | 9                     | Claims in excess of 20                                     |               |
| 102                 | 80                    | 202               | 40                    | Independent claims in excess of 3                          |               |
| 104                 | 270                   | 204               | 135                   | Multiple dependent claim, if not paid                      |               |
| 109                 | 80                    | 209               | 40                    | ** Reissue independent claims over original patent         |               |
| 110                 | 18                    | 210               | 9                     | ** Reissue claims in excess of 20 and over original patent |               |
| <b>SUBTOTAL (2)</b> |                       |                   |                       |  | <b>\$0.00</b> |

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity Code         | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|---------------------------|-----------------------|-------------------|-----------------------|--|----------|
| 105                       | 130                   | 205               | 65                    | Surcharge - late filing fee or oath  |          |
| 127                       | 50                    | 227               | 25                    | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                       | 130                   | 139               | 130                   | Non - English specification  |          |
| 147                       | 2,520                 | 147               | 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112                       | 920*                  | 112               | 920*                  | Requesting publication of SIR prior to Examiner action                     |          |
| 113                       | 1,840*                | 113               | 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 115                       | 110                   | 215               | 55                    | Extension for reply within first month                                     |          |
| 116                       | 390                   | 216               | 195                   | Extension for reply within second month                                    |          |
| 117                       | 890                   | 217               | 445                   | Extension for reply within third month                                     |          |
| 118                       | 1,390                 | 218               | 695                   | Extension for reply within fourth month                                    |          |
| 128                       | 1,890                 | 228               | 945                   | Extension for reply within fifth month                                     |          |
| 119                       | 310                   | 219               | 155                   | Notice of Appeal   |          |
| 120                       | 310                   | 220               | 155                   | Filing a brief in support of an appeal                                     |          |
| 121                       | 270                   | 221               | 135                   | Request for oral hearing   |          |
| 138                       | 1,510                 | 138               | 1,510                 | Petition to institute a public use proceeding                              |          |
| 140                       | 110                   | 240               | 55                    | Petition to revive - unavoidable   |          |
| 141                       | 1,240                 | 241               | 620                   | Petition to revive - unintentional   |          |
| 142                       | 1,240                 | 242               | 620                   | Utility issue fee (or reissue)   |          |
| 143                       | 440                   | 243               | 220                   | Design issue fee   |          |
| 144                       | 600                   | 244               | 300                   | Plant issue fee  |          |
| 122                       | 130                   | 122               | 130                   | Petitions to the Commissioner  |          |
| 123                       | 50                    | 123               | 50                    | Processing fee under 37 CFR § 1.17(q)                                      |          |
| 126                       | 180                   | 126               | 180                   | Submission of Information Disclosure Statement                             |          |
| 581                       | 40                    | 581               | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 146                       | 710                   | 246               | 355                   | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                       | 710                   | 249               | 355                   | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                       | 710                   | 279               | 355                   | Request for Continued Examination (RCE)                                    |          |
| 169                       | 900                   | 169               | 900                   | Request for expedited examination of a design application                  |          |
| Other fee (specify) _____ |                       |                   |                       |  |          |

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

### SUBMITTED BY

|                   |              |                                   |            |           |                |
|-------------------|--------------|-----------------------------------|------------|-----------|----------------|
| Name (Print/Type) | JOHN W. REES | Registration No. (Attorney/Agent) | 38,278     | Telephone | (248) 203-0832 |
| Signature         |              | Date                              | 08/27/2001 |           |                |

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **BENSON ET AL.**

Docket No.

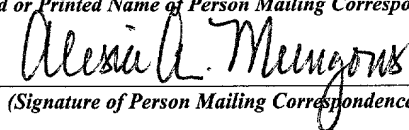
**DP-306,072**Serial No.  
**UNKNOWN**Filing Date  
**HEREWITH**Examiner  
**UNKNOWN**Group Art Unit  
**UNKNOWN**Invention: **BATTERY CONSTRUCTED WITH EXTRACTED BICELLS STACKED ON SHUTTLED PALLET**

I hereby certify that the following correspondence:

**UTILITY PATENT APPLICATION TRANSMITTAL;  
FEE TRANSMITTAL; and  
PATENT APPLICATION WITH DRAWINGS***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

08/27/2001*(Date)*ALESIA A. MUNGONS*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EF000849225US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**